



# पावर ट्रान्समिशन कारपोरेशन ऑफ उत्तराखण्ड लि०

(उत्तराखण्ड सरकार का उपक्रम)

## निदेशक (परियोजना) कार्यालय

विद्युत भवन, नजदीक-आई०एस०बी०टी० क्रॉसिंग, सहारनपुर रोड, माजरा, देहरादून-248002  
दूरभाष नं० 0135-2645753 फैक्स नं० 0135-2645753 ई-मेल director\_project@ptcul.org

No. 170 /Dir. (Projects)/PTCUL/ ARR

Date: 21 / 01 / 2021

The Secretary  
Uttarakhand Electricity Regulatory Commission  
"Vidyut Niyamak Bhawan",  
Near I.S.B.T., P.O. Majra  
Dehradun (Uttarakhand)

**Subject:-** Filing of Tariff Petition before Hon'ble UERC for approval of Annual Performance Review for FY 2020-21 and Revised Aggregate Revenue Requirement for FY 2021-22 of SLDC.

Sir,

Kindly take reference of the Hon'ble Commission's Letter No. Letter No. UERC/ 7/CL/438/Misc. App. No. No. 51 of 2020/1135 dated 12.01.2021 on the above-mentioned subject, vide which certain deficiencies/ shortcomings have been observed by the Hon'ble UERC and directed SLDC to submit the point-wise replies duly quoting titles/sub-titles and S. No. identical with the deficiencies referred to in enclosed **Annexure -A** latest by 20.01.2021 in seven copies along with the soft copy of the reply under an affidavit so that SLDC application may be put before the Commission for admission.

In compliance of the same, it is humbly submitted herewith the point-wise replies duly quoting titles/sub-titles and S. No. identical with the deficiencies in seven copies along with the soft copy of the reply under an affidavit for admission of the application / Petition for approval of Annual Performance Review for FY 2020-21 and and Revised Aggregate Revenue Requirement for FY 2021-22 of SLDC before the Hon'ble Commission.

Submitted for kind consideration of the Hon'ble Commission.

Encls:- As above.

Thanking You,

Yours faithfully,

(Anil Kumar)  
Director (Projects)

Cc:- Managing Director, PTCUL, Dehradun for kind information.



सत्यमेव जयते

# INDIA NON JUDICIAL Government of Uttarakhand

## e-Stamp

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Consideration Price (Rs.)	: 0 (Zero)
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Second Party	: NA
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(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun

BEFORE UTTARAKHAND ELECTRICITY REGULATORY COMMISSION, DEHRADUN

FILE NO.

CASE NO.

IN THE MATTER OF:

Regarding filing of Petition for approval of Annual Performance Review for FY 2020-21 and Revised Aggregate Revenue Requirement for FY 2021-22 of SLDC (Reply to **Annexure-A** Letter No. UERC / 7/CL/438/Misc. App. No. No. 51 of 2020/1135 dated 12.01.2021.

IN THE MATTER OF:

Power Transmission Corporation of Uttarakhand Ltd.  
"VidyutBhawan", Near I.S.B.T. Crossing, Saharanpur Road,  
Majra, Dehradun, 248002.

..... Petitioner

**Affidavit**

I, Anil Kumar, S/o Shri Raj Pati, aged about 56, working as Director (Projects), Power Transmission Corporation of Uttarakhand Limited, the deponent named above do hereby solemnly affirm and state on oath as under: -

1. That the deponent is the Director (Projects) of Power Transmission Corporation of Uttarakhand, who is authorized as per the resolution of the company (In case the Petitioner is a Company) dated 24.12.2020 and is acquainted with the facts deposed to below.
2. I, the deponent named above do hereby verify that the contents of the paragraph Nos. 1 of the affidavit and the statements made and data presented in the accompanying petition are true to my personal knowledge and are based on present information/ records of the Company and are based on estimation arising from present data/ records of the company which I believe to be true and verify that no part of this affidavit is false and nothing material has been concealed.

  
(Anil Kumar)  
(Deponent)  
Director (Projects),  
PTCUL, Dehradun

I, ..... Advocate, do hereby declare that the person making this affidavit is known to me through the perusal of records and I am satisfied that he is the same person alleging to be deponent himself.

(.....)  
Advocate

Solemnly affirmed before me on this 21 day of 01 2020 by the deponent who has been identified by the aforesaid Advocate.



I have satisfied myself by examining the deponent that he understood the contents of the affidavit which has been read over and explained to him. He has also been explained about section 193 of Indian Penal Code that whoever intentionally gives false evidence in any of the proceedings of the Commission or fabricates evidence for purpose of being used in any of the proceedings shall be liable for punishment as per law.

(Notary Public)



This affidavit is sworn before me by  
Shri.....  
who is identified Shri.....  
at Dehradun on.....

*21.12*  
KM. URMILA BHATIA  
Advocate & NOTARY, Dehradun



**SLDC's point-wise replies duly quoting titles/sub-titles and S. No. identical with the certain deficiencies/ shortcomings observed by the Hon'ble UERC on Petition for Approval of Annual Performance Review (APR) for FY 2020-21 and Revised Aggregate Revenue Requirement (ARR) for FY 2021-22 for SLDC**

**I. Preliminary information required for admission of Petition**

**A. Excel Related Forms**

**Hon'ble Commission's Query:-**

1. SLDC has submitted the excel formats along with its Petition. Some of the Forms are not duly filled in as detailed in the Table below:

Form	Description	Shortcoming
1	Summary of Aggregate Revenue Requirement	Details not furnished for FY 2019-20
4.2	Asset-wise Depreciation	Complete details not furnished such as Actual Depreciation (Apr-Sep) for the current year and accumulated depreciation (at the beginning of the year) for all years
6.1	Statement of Capital Expenditure	Complete details not furnished such as breakup of sources of financing
6.1A	Statement of Capital Works in Progress	Details not furnished for FY 2019-20 to FY 2021-22
6.1B	Break-up of Construction/Supply/Service Packages	Details not furnished for FY 2019-20 to FY 2021-22
6.2	Statement of Capital Works-in-Progress	Details not furnished for FY 2019-20 to FY 2021-22
8	Details of Capital Cost and Financing Structure	The details for current year (2020-21) have not been submitted separately for Apr-Sep (Actual) and Oct-Mar (Estimated)
9	Details of Financial Packages	Details not furnished
10.1	Statement of Outstanding Loans	Complete details not furnished for current year and ensuing year such as balance at the beginning , principal due and repaid during the year
10.2	Calculation of Weighted Average Rate of Interest on Actual Loans	Details not furnished for current and ensuing year
11	Working Capital Requirement	Complete details not furnished

**SLDC's Response:-**

The Table below summarizes the various shortcomings noted by the Hon'ble Commission along with the SLDC's responses for the same:

Form	Description	SLDC's Response
1	Summary of Aggregate Revenue Requirement	Since, the annual audited accounts of SLDC are not available separately, the true-up has been filed along with PTCUL. In view of unavailability of annual audited accounts of SLDC, ARR details has not been furnished for FY 2019-20.

(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun

Form	Description	SLDC's Response
4.2	Asset-wise Depreciation	Since, the audited accounts of SLDC are not available separately, the required details such as accumulated depreciation, withdrawals etc. are not maintained separately. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the information provided in the format.
6.1	Statement of Capital Expenditure	The updated Form 6.1 is submitted herewith as <b>Annexure – I(A)</b> for kind consideration of the Hon'ble Commission
6.1A	Statement of Capital Works in Progress	The updated Form 6.1A is submitted herewith as <b>Annexure – I(B)</b> for kind consideration of the Hon'ble Commission
6.1B	Break-up of Construction/Supply/ Service Packages	The updated Form 6.1B is submitted herewith as <b>Annexure – I(C)</b> for kind consideration of the Hon'ble Commission
6.2	Statement of Capital Works-in-Progress	The information required in the format is not maintained as such. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the information provided in the format.
8	Details of Capital Cost and Financing Structure	The updated Form 8 is submitted herewith as <b>Annexure – I(D)</b> for kind consideration of the Hon'ble Commission
9	Details of Financial Packages	The information required in the format is not maintained as such. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the information provided in the format.
10.1	Statement of Outstanding Loans	The information for the current year and ensuing year has not been filled as there are no outstanding loans. The updated Form 10.1 is submitted herewith as <b>Annexure–I(E)</b> for kind consideration of the Hon'ble Commission
10.2	Calculation of Weighted Average Rate of Interest on Actual Loans	The information required in the format for ensuing year is not maintained as such. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the information provided in the format.
11	Working Capital Requirement	Since, the audited accounts are not available for FY 2019-20, hence the details cannot be provided for FY 2019-20. Also, since the normative values for one half of the year are not available, the same cannot be separately provided for Apr-Sep and Oct-Mar. Hence, the Petitioner humbly prays to the Hon'ble Commission to consider the information provided in the format.

## B. APR for FY 2019-20

### Hon'ble Commission's Query: -

- SLDC has claimed the capitalization of Rs. 20.30 Crore for FY 2019-20 to determine the closing GFA for FY 2020-21. SLDC is required to submit the complete details of scheme (s) against which the capitalization is claimed.

(Ami Kumar)  
Director (Projects),  
PTCUL, Dehradun

**SLDC's Response:-**

*Table 1: Capitalisation claimed in FY 2019-20*

S.No.	Name of Project	Scheme	Capitalisation Amount
1	Construction of SLDC at Rishikesh and 2 Nos Sub SLDC at Kashipur and Dehradun and its associated communication network	REC II	20.08
2	Batteries including Charging Equipment	Others	0.06
3	Supply of Godrej Furnitures at SLDC office, Dehradun	LDCD Fund	0.03
4	Development of web-based software & implementation of online system for grant of NoC to open access consumers	LDCD Fund	0.11
5	Purchase of 01 Nos Split AC for SLDC office	LDCD Fund	0.01
6	Office Equipment	LDCD Fund	0.01

**Hon'ble Commission's Query: -**

3. SLDC has claimed the capitalisation of Rs. 0.06 Crore for FY 2020-21. SLDC is required to submit the details of scheme (s) against which the capitalization is claimed

**SLDC's Response:-**

*Table 2: Capitalisation claimed in FY 2020-21*

S.No.	Name of Project	Scheme	Capitalisation Amount
1	Furnitures and Fixtures	LDCD Fund	0.06

**C. Revised ARR for FY 2020-21**

**Hon'ble Commission's Query: -**

4. SLDC has claimed the capitalisation of Rs. 2.69 Crore for FY 2021-22. However, the same has not been considered by PTCUL in its Petition for ARR for FY 2021-22. SLDC is required to submit the justification for the same

**SLDC's Response**

PTCUL has inadvertently missed on adding the revised capitalization claimed for SLDC of Rs. 2.69 Crore in its Petition for ARR for FY 2021-22 of PTCUL. PTCUL would like to humbly pray to the Commission to consider this amount as part of the capitalisation in the calculation of the ARR for FY 2021-22.

(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun



Form: F - 6.1

## Statement of Capital Expenditure

(Figures in Rs Crore)

Particulars	FY of Capitalisation	Previous Year (FY 2019-20)	Current Year (FY 2020-21)			Ensuing Year (FY 2021-22)	Remarks+	Total Expenditure Approved by Competent Authority	Total Expenditure Actually Incurred	Remarks++
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected				
<b>A) Expenditure Details</b>										
Lands & Rights		0.00	0.00	0.00	0.00	0.00				
Buildings and structures / civil works		0.00	0.00	0.00	0.00	0.00				
Plant & Machinery		0.00	0.00	0.00	0.00	0.00				
Cables & network		0.00	0.00	0.00	0.00	0.03				
Communication equipments		20.08	0.00	0.00	0.00	0.00				
Airconditioning plant		0.01	0.00	0.00	0.00	0.00				
Furniture & Fixtures		0.03	0.00	0.06	0.06	0.06				
Office equipments		0.00	0.00	0.00	0.00	0.04				
Vehicles		0.00	0.00	0.00	0.00	0.00				
SCADA and IT system		0.16	0.00	0.00	0.00	2.55				
Other equipment		0.01	0.00	0.00	0.00	0.01				
<b>Total (A)</b>		<b>20.30</b>	<b>0.00</b>	<b>0.06</b>	<b>0.06</b>	<b>2.69</b>				
<b>B) Break up of sources of financing</b>										
<b>Rupee term Loan</b>										
Debt 1										
Debt 2										
----										
<b>Foreign Currency Loan</b>										
Debt 1										
Debt 2										
----										
<b>Equity</b>										
In Rupees										
In Foreign Currency										
c) Others (Please specify)										
<b>Total (B)</b>										

**Note:**

- i) The break up wherever required and necessary may be given in respect of Loans and Equity financing supported with respective documentation.
- ii) Copies of Approval from competent authorities (if required) may be furnished in respect of cost of each Scheme, its components and scheme of finance.
- iii) Remarks+: Explain for the causes of deviation if the actual expenditure during the current year is expected to be different from that approved by UERC or other authorised agencies
- iv) Remarks++: Explain for the causes of deviation if the total actual expenditure is different from that approved by UERC or the authorised agencies

Petitioner

(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun



SLDC

Annexure-1(B)

Form: F-6.1A

## Statement of Capital Works-in-Progress

(Figures in Rs Crore)

Particulars	Previous Year (FY 2019-20)	Current Year (FY 2020-21)			Ensuing Year (FY 2021-22)	Remarks
	Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	
<b>(A) Opening balance of CWIP</b>						
(a) Opening balance of CWIP as per books	9.5	5.18	5.18	5.18	5.18	
(b) Amount of capital liabilities in (a) above						
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in (a) above						
<b>(B) Add: Addition/Adjustment</b>						
(a) Add: Addition/Adjustment in CWIP Amount during the period	15.760	0.000	0.000	0	5.0	
(b) Amount of capital liabilities in (a) above						
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in (a) above						
<b>(C) Expenses Capitalised</b>	20.08					
(a) Capitalization/Transfer to Fixed asset of CWIP Amount during the period						
(b) Amount of capital liabilities in (a) above						
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in (a) above						
<b>(D) Closing balance of CWIP</b>	5.18	5.18	5.18	5.18	10.18	
(a) Closing CWIP Amount as per books						
(b) Amount of capital liabilities in (a) above						
(c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost in (a) above						

(Anil Kumar)

Director (Projects),  
PTCUL, Dehradun

## Annexure-I(c)

### Break-up of Construction/Supply/Service Packages

(Figures in Rs Lakh)

[illegible]

2 If there is any package, which need to be shown in Indian Rupee and foreign currency(ies), the same should be shown separately along with the currency, the exchange rate and the date e.g. Rs. 800 Lakh. + US\$ 5m=Rs. 3900 Lakh. at US\$=Rs62 as on say 01.04.14.

Petitioner

(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun

Form: F- 8

## Details of Capital Cost and Financing Structure

(Figures in Rs Crore)

Year ending March	FY of Capitalisation	Previous Year (FY 2019-20)	Current Year (FY 2020-21)			Ensuing Year (FY 2021-22)	Remarks
		Actual / Audited	Apr-Sep (Actual)	Oct-Mar (Estimated)	Total (April - March)	Projected	
<b>Basic Project Financial Parameters</b>							
Capital Cost*							
Addition during the year		20.30	0.00	0.06	0.06	2.69	
Deletion during the year							
Gross Capital Cost (A)							
Equity against Original Project Cost							
Addition during the year		6.05	0.00	0.01	0.01	0.76	
Equity Sub-Total (B)		6.05	0.00	0.01	0.01	0.76	
Debt outstanding against the original Capital cost							
New Loans added during the year		14.11	0.00	0.01	0.01	1.78	
Debt Sub-Total (C)		14.11	0.00	0.01	0.01	1.78	
Grants against original project cost							
Addition during the year		0.14	0.00	0.04	0.04	0.15	
Grants Sub-Total (D)		0.14	0.00	0.04	0.04	0.15	
Total Financing (B+C+D)		20.30	0.00	0.06	0.06	2.69	

## Note :

- i) \*Approved or Actual Capital Cost whichever is less.  
ii) The equity and loan shall be divided into foreign and domestic component if applicable.

(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun

SLDC

Form: F - 10.1

## Statement of Outstanding Loans

Previous year (n-1)

Annexure-I (E)

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount received during the year	Principal due during the year	Principal redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	Actual/ Auditted	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
<b>A. Other than state government</b>									
Loan 1 (REC, New Delhi)	10.75%	10 Years	75,90,900.00		75,90,900.00	75,90,900.00		-	
Loan 2 (REC, New Delhi)	10.75%	10 Years	13,86,400.00		13,86,400.00	13,86,400.00		-	
Loan 3 (Name of lender), etc.	11.25%	10 Years	75,71,143.00		75,71,143.00	75,71,143.00		-	
<b>Sub-Total (A)</b>			<b>165,48,443.00</b>	<b>-</b>	<b>165,48,443.00</b>	<b>165,48,443.00</b>	<b>-</b>	<b>-</b>	
<b>B. Government Loans</b>									
Type 1	0.00%	-	-	-	-	-	-	-	
Type 2	0.00%	-	-	-	-	-	-	-	
Type 3 etc.	0.00%	-	-	-	-	-	-	-	
<b>Sub-Total (B)</b>			<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Sub-Total (A+B)</b>			<b>165,48,443.00</b>	<b>-</b>	<b>165,48,443.00</b>	<b>165,48,443.00</b>	<b>-</b>	<b>-</b>	
<b>C. Normative Loans</b>									
<b>TOTAL (A+B+C)</b>			<b>165,48,443.00</b>		<b>165,48,443.00</b>	<b>165,48,443.00</b>			

Current Year (n)

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount received during the year	Principal due during the year	Principal redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
<b>A. Other than state government</b>									
Loan 1 (REC, New Delhi)	10.75%	10 Years	-	-	-	-	-	-	
Loan 2 (REC, New Delhi)	10.75%	10 Years	-	-	-	-	-	-	
Loan 3 (Name of lender), etc.	11.25%	10 Years	-	-	-	-	-	-	
<b>Sub-Total (A)</b>			<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>B. Government Loans</b>									
Type 1									
Type 2									
Type 3 etc.									
<b>Sub-Total (B)</b>			<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Sub-Total (A+B)</b>			<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>C. Normative Loans</b>									
<b>TOTAL (A+B+C)</b>									

(Anil Kumar)

Director (Projects),  
PTCUL, Dehradun



Ensuing year (n+1)

(Figures in Rs Crore)

Loan Agency (Source of loan)	Rate of interest (%)	Repayment period (Years)	Balance at the beginning of the year	Amount to be received during the year	Principal due during the year	Principal to be redeemed during the year	Principal Overdue at the year end	Principal Due at the year end	Remarks
	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)=(6)-(7)	(9)=(4)+(5)-(6)	(10)
<b>A. Other than state government</b>									
Loan 1 (REC, New Delhi)	10.75%	10 Years	-	-	-	-	-	-	
Loan 2 (REC, New Delhi)	10.75%	10 Years	-	-	-	-	-	-	
Loan 3 (Name of lender), etc.	11.25%	10 Years	-	-	-	-	-	-	
<b>Sub-Total (A)</b>									
<b>B. Government Loans</b>									
Type 1									
Type 2									
Type 3 etc.									
<b>Sub-Total (B)</b>									
<b>Sub-Total (A+B)</b>									
<b>C. Normative Loans</b>									
<b>TOTAL (A+B+C)</b>									

**Note:** i) In case reschedulement of any loan has been undertaken, the terms of reschedulement to be specified clearly, through an attachment alongwith copy of the letter from the Lender outlining the terms of Re-schedulement.  
ii) Any Loan not allocated to any specific Scheme and not forming part of Approved Financial Package, to be shown separately, with reasons thereof.  
iii) The original Financing Plan and the cumulative repayment as per the Original Financing Plan to be outlined for each Loan.  
iv) For current year, Loans already drawn and the loan proposed to be drawn by end of year to be shown separately.  
v) Any new loan from existing or new lenders to be identified separately as a Loan.  
vi) In case of Foreign Currency Loans, data to be provided in the currency of borrowing alongwith the name of the currency..

Petitioner

(Anil Kumar)  
Director (Projects),  
PTCUL, Dehradun